for Joint Research Projects on Cultural Heritage

# JPI – JHEP Call for proposal GUIDELINES FOR APPLICANTS

JHEP Joint Pilot call

Launching: 10 January 2013

Deadline for submission of full proposals: 5 April 2013



## JPI – JHEP Call for proposal GUIDELINES FOR APPLICANTS

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#### 1. FOREWORD

A Joint Programming Initiative (JPI) provides a framework within which Member States and Associated Countries jointly address areas where public research programmes can respond to major societal challenges.

The goal of Joint Programming is to bring a new dimension to European research by aligning national programmes in participating countries and thereby contributing to the development of the European Research Area.

Concerted research action is needed to allow Member States and Associated Countries to maximise and exploit their research efforts by identifying a common vision, defining a strategic research agenda for research activities and implementing joint activities. A JPI strengthens this by avoiding duplication, filling gaps and creating critical mass by increasing European research visibility and impact at the international level.

The main objective of the JPI "Cultural Heritage and Global Change: a new challenge for Europe" (JPICH) is to address the strong relationships that link cultural heritage, technological innovation and economic development within the dynamic framework of the challenges and competitiveness of an enlarged European Union.

The JHEP coordination action is providing the necessary support to develop and implement the JPI by the development of a Strategic Research Agenda, coordination of joint research activities, extension of the partnership, evaluation and monitoring and stakeholder engagement.

The participating Member States and Associated Countries agree to join forces in order to launch a joint pilot call for research proposals as an important step towards the coordination of transnational strategies concerning Cultural Heritage Research, building on the dynamic developed by the JPI and JHEP process.



#### 2. CALL ANNOUNCEMENT

#### 2.1 Objectives of the JPI/JHEP Joint Pilot Call for Proposals (JPCP)

The following JPI-JHEP Consortium Partners and Signatories of a Memorandum of Understanding (MoU), are launching a Joint Pilot Call for Proposals (JPCP) to fund transnational research and networking projects in the field of cultural heritage:

Country	Organization				
Belgium	Belgian Federal Science Policy				
	(BELSPO)				
Belgium (Region of Flanders)	Research Foundation –				
	Flanders (FWO)				
Cyprus	Research Promotion Foundation				
	(RPF)				
Denmark	Danish Agency for Science,				
	Technology and Innovation				
_	(DASTI)				
France	Ministère la culture et de la				
	communication,				
Tuelend	SG/SCPCI/DREST				
Ireland	The Heritage Council of Ireland				
	– An Chomhairle Oidhreachta				
Italy	(HCI)				
Italy	Ministero dell'Istruzione, dell'Università e della Ricerca				
	(MIUR)				
	Ministero per i Beni e le Attività				
	Culturali (MiBAC)				
Lithuania	Research Council of Lithuania				
	(RCL)				
Netherlands	Netherlands Organisation for				
	Scientific Research (NWO)-				
	Council for the Humanities				
Netherlands	Cultural Heritage Agency				
	(Rijksdienst voor het Cultureel				
	Erfgoed) RCE				
Norway	Research Council of Norway				
	(RCN)				
Poland	National Science Centre				



	(Narodowe Centrum Nauki) NCN
Slovenia	Ministry of Education, Science, Culture and Sport (MESCS)
Spain	Ministerio de Economia y Competividad (MINECO) Secretaría de Estado de Investigación, Desarrollo e Innovación
United Kingdom	Arts and Humanities Research Council (AHRC)

The strategic objectives of the JPI-JHEP Joint Pilot Call for Proposals (JPCP) are:

- to pilot a jointly-organized call, establishing common processes and assessment criteria;
- to enable researchers in different countries to build effective collaborative networks on common transnational research topics in cultural heritage.

#### 2.2 Research Topics

The research topics on which this JPI-JHEP Joint Call is focused are as follows:

- 1. Methods, tools (including non-invasive instruments) and modelling for understanding damage and decay mechanisms (including the effects of weathering and climate change) on tangible heritage (including buildings, sites and landscapes);
- 2. Materials, technologies and procedures for the conservation of tangible cultural heritage;
- 3. Use and re-use of buildings and landscapes, including the relationship between changes of use and public policy, including costs and added value (for example as a result of planning regulations and urban development);
- 4. Increasing understanding of cultural values, valuation, interpretation, ethics and identity around all forms of cultural heritage (tangible, intangible and digital heritage).

Applicants need to take into account the respective national eligibility criteria and funding conditions (see Annex 1). It is strongly recommended to contact your National/Regional Contact Point for further information (see Annex 2) and to check also the Summary Table (see Annex 3).



#### 2.3 Eligibility Requirements

Prior to submitting Full Proposals, all applicants should contact their respective national funding organisations in order to verify eligibility and to ensure compliance with their relevant organisations' granting rules and regulations defining national eligibility with regards to qualifications of applicants and accuracy of budget items (see contact persons listed in Annex 2).

In order to be eligible for funding under the JHEP-JPI Joint Pilot call the Project Proposals (PPs) submitted must meet the following principles:

- Project consortia must consist of at least 3 research groups, each based in an eligible institution from a different country participating in this call (see the list in Annex 2);
- The Project Coordinator must be responsible for management of the complete research project, including annual activity reports and cost statements;
- Public or private organizations may participate according to national financing regulations (see Annex 1);
- The consortium must demonstrate sufficient research capacity in order to achieve the project objectives;
- The composition of the consortium should be efficient in size in order to accomplish the objectives proposed;
- Management of the Consortium must be outlined and explained;
- Applicants must follow the prescribed format of the call, provided via the call website: <a href="www.jpi-culturalheritage.eu">www.jpi-culturalheritage.eu</a>, complete all relevant sections and should not exceed the prescribed maximum length;
- The application form and the other requested documents must be submitted in time, within or before the deadline;
- Project costs must be eligible according to national eligibility rules and



according to the type of project supported (for example, research project or networking) (see Annex 1).

#### 2.4 Project budget

The total project budget can vary according to the project plan and the composition of the consortium. For each part of the project proposal the budget limits as stated in Annex 1 and Annex 3 per participating country are valid.

#### 2.5 Project duration

The projects submitted must have a maximum duration of 36 months. The project costs will be eligible from the date of notice of approval. Where national regulations foresee different conditions, national/ regional regulations will always prevail.

#### 2.6 Dates and deadlines

Table 1. Call Timeline

Procedure	Time schedule
Launch of the Joint Call	10 January 2013
Submission deadline for proposals	5 April 2013, 18:00 CET (Brussels, Belgium time)
Eligibility check (including national eligibility evaluation)	From 10 April 2013 to 10 May 2013
Evaluation period (including international written peer review)	From 10 May 2013 to 30 June 2013
Communication of the proposals evaluation results to relevant national funding	5 July 2013



bodies	
Communication of the national funding decision to MIBAC	15 July 2013
Communication of decisions to project leaders by MIBAC	30 July 2013
National funding bodies to complete administrative procedures related to successful proposals	From 1 August to 30 October
Start projects	1 November 2013

#### 2.7 Funding rules

In this call the eligibility rules of the respective national/regional institutions apply; each partner in a project proposal must be aware of the eligibility rules of the participating national funding organizations.

Information on the specific eligibility rules of each participating country is provided in Annex 1. For more detailed information, each applicant should contact the relevant National/Regional Contact Point (see Annex 2).

#### 3. APPLICATION PROCEDURE

The Project Proposals (PPs) must be submitted electronically on the JPI/JHEP web site www.jpi-culturalheritage.eu only.

The PPs follow the prescribed format and have to be complete and correct, following the structure of the application form, which will be available on the website at: http:// www.jpi-culturalheritage.eu in the period between the publication of the call and the deadline for the submission of transnational research projects and activities.

Only the Project Coordinator (the partner who represents the consortium externally) is allowed to submit the proposal.

The Project Proposals (PPs) must be submitted in English.



The following items must be addressed in the proposal (see "proposal application form"):

- 1. Summary
- 2. Consortium description (description of each partner and qualifications of the consortium as a whole)
- 3. Project context (project objectives, progress from the state of the art and added value of transnational cooperation)
- 4. Description of the work (aims and objectives, research questions -if appropriate, methodology and work programme, main activities and milestones)
- 5. Project costs in euros only: total costs and breakdown per partner
- 6. Exploitation of results and impact
- 7. Dissemination plan of project results

In addition to the JPI/JHEP Project Proposals (PPs), each partner in the project must fulfill any specific local application rules/requirements of each national funding programme, but at the very least should include a breakdown of costs per participating country.

Failure to fulfill national eligibility criteria and other specific rules and regulations will render the entire application ineligible.

#### 4. MANAGEMENT AND EVALUATION

#### 4.1 Management of the call

The central coordinating body for the Joint Pilot Call is MiBAC, in liaison with MIUR, and it will be responsible for the overall coordination of the call. MiBAC shall also be responsible for overseeing the international peer review assessment process according to the rules agreed upon by the JHEP Steering Committee (STC) and the JPI Governing Board.

MiBAC will be assisted in its coordination role by the STC, but each of the Signatories must manage any administrative procedures related to successful proposals (responsibility for signing the contract with successful proposals, the administrative collection of financial reporting, etc).

#### 4.2 Before the evaluation procedure



After the deadline for submission of proposals, the JPI/JHEP Coordinator (MIBAC - Italy) with the support of the Signatories will assess the proposals to ensure that they meet the call's eligibility criteria. Proposals which <u>do not fulfill</u> the following eligibility criteria will not be included in the evaluation:

- Proposals must be received by the JPI/JHEP web site within the deadline given in the call;
- Proposals must be complete (the application form is complete and all the requested documents submitted);
- Proposals must involve at least 3 participants as stated in the call.

Eligible proposals according to the JPI/JHEP Joint Call criteria above, will be forwarded to the relevant national funding institutions for national eligibility checks based on the eligibility criteria of the relevant national funding programmes.

#### 4.3 Evaluation procedure

### All eligible proposals will be assessed according to the Evaluation Criteria set out in Annex 4.

All eligible proposals will be peer reviewed by experts prior to the final assessment and ranking by the Scientific Committee.

The assessment of proposals will be undertaken by the Scientific Committee who will be supplemented, if necessary, by other experts chosen from a new list of independent international experts proposed by JPI-JHEP Participants. The expertise of the committee will, therefore, cover all fields of research addressed in the call.

The Scientific Committee will then rank the proposals in order of research quality. An Evaluation Summary Report and the Projects Common Ranking list (binding) will be sent to the Signatories of the MoU for the final decision. The Signatories shall, according to the ranked list from the Scientific Committee, each assess possible project funding in relation to national funding and in accordance with the funding policies and decision-making of that Signatory.

A consensus meeting of the Signatories of the MoU is scheduled, in which the funding institutions agree whether a proposal should be rejected or recommended for funding, according to the Common Ranking List (binding)



and the available budget. The Call Secretariat collects the outcome of the decisions by the Signatories of the MoU.

Any information provided will be treated as strictly confidential by the Scientific Committee.

In order to avoid any conflict of interest, individual Steering Committee Members, Executive Board and Governing Board Members, in addition to others directly involved in the assessment, process, must not apply to this call.

#### 4.4 Decision process, funding and start of the projects

Approved projects will be funded by the respective national funding institution within the limits set by each Signatory. Each country will fund its own project participants.

A consortium agreement between the project partners is required for funding (following the final funding decision). The main function of the consortium agreement is to clarify IPR issues and responsibilities of the partners.

Funding contracts will then be signed between successful project participants and the respective national funding institution. The national/regional institution involved must formalize the funding granted to each one of the projects before October 30 2013.

The respective national funding institution will monitor the funded projects and will report periodically to the JHEP STC.

#### **4.5 Payment Conditions**

Payment for a project is subject to national funding rules.



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#### **ANNEX 1. Funding Programmes (alphabetic order by country)**

Here all participating countries report the main information about the specific requirements of their funding programmes. For further information, please contact your national contact point (see Annex 2).

Country	Funding Institution/ Organization	Legal entities which can apply	Budget allocated to the call (Euro)	Type of project	Supported topics	Maximum grant for national participant (Euro)	For further information
Belgium	Belgian Federal Science Policy (BELSPO)	Belgian university institutions, public scientific institutions, non-profit research centres	400.000	Collaborative research	1,2	200.000	www.belspo.be/brain-be
Belgium (Region of Flanders)	Research Foundation – Flanders (FWO)	Flemish universities and research institutions.	400.000	Networking or collaborative research	1,2,3,4	200.000	www.fwo.be
Cyprus	Research Promotion Foundation (RPF)	The Host Organisation (HO) of a project could be a Research / Academic Organisation (cat. A.1 and A.2) or a Public Benefit Organisation (cat. Γ.1 and Γ.2) located permanently in the areas under the control of the Republic of Cyprus (excluding the UK Sovereign Base Areas). Partner Organisations (PA) could be Research / Academic Organisations (cat. A.1 and A.2), Enterprises (cat. B.1, B.2, B.3 and B.4) and / or Public Benefit Organisations (cat. Γ.1 and Γ.2)	200.000	Collaborative research	1,2,3,4	100.000	www.research.org.cy



		located in Cyprus or abroad. For Industrial (Applied) Research projects it is compulsory to include at least one (1) PA located permanently in the areas under the control of the Republic of Cyprus, of the following categories: Enterprises (cat. B.1, B.2, B.3 and B.4) or Public Benefit Organisations (cat. Γ.1 and Γ.2).  Each project proposal may include up to four (4) Partner Organisations.					
Denmark	The Danish Council for Independent Research   Humanities (FKK)	Researchers who have obtained a PhD or equivalent qualifications.	100.000	Networking or collaborative research	1,3,4	100.000 included overhead/ administra tion expenses	www.fi.dk/fkk
France	Ministère de la culture et de la communication (MCC)	Public and private research team, all Public and private actors involved in cultural heritage	300.000 (within the PNRCC)	Collaborative research (two years)	1,2	50.000	www.culturecommunicat ion.gouv.fr/Politiques-ministerielles/Recherche -Enseignement-superieur-Technologies/La-recherche-au-MCC/Programme-de-recherche-sur-les-materiaux-du-patrimoine
Ireland	The Heritage Council of Ireland – An Chomhairle Oidhreachta	Third level institutions, research institutions, private enterprises, individuals	100.000	Networking or collaborative research	1,2,3,4	50.000	www.heritagecouncil.ie eoconnell@heritagecouncil.i e
Italy	Ministero	Italian university institutions, public	750.000	Collaborative	1,2,3,4	No limit	www.miur.it



	dell'Istruzione, dell'Università e della Ricerca (MIUR). Ministero per i Beni e le Attività Culturali (MiBAC).	research institutions, enterprises		research			www.beniculturali.it
Lithuania	Research Council of Lithuania (RCL)	Lithuanian higher education and research institutions.	100. 000	collaborative research	1,3,4	52.131 (180. 000 LTL)	www.lmt.lt
Netherlands	NWO Humanities Department Netherlands Organisation for Scientific Research RCE Dutch Cultural Heritage Agency	For specific information see web site: www.nwo.nl under geesteswetenschappen, international subsidiemogelijkheden	300.000	Networking, exchange visits, pilot projects and feasibility studies.	1,2,3,4	50.000	www.nwo.nl under geesteswetenschappen, international subsidiemogelijkheden
Norway	Research Council of Norway – RCN	Norwegian research institutions (university, university college, research institute or other institution at which research constitutes an important activity).	150.000	networking projects,(includi ng coordination, workshops and travel expenses)	1, 3 and 4. Topic 2 may be included in collaboratio n with one or more of the other topics	50.000	www.forskningsradet.no /Miljo2015
Poland	National Science Centre (NCN)	Under this scheme the NCN will not offer grants that would be considered state aid (cf. http://www.ncn.gov.pl/finansowanie-	150.000	collaborative research	4	150.000	www.ncn.gov.pl



		nauki/pomoc-publiczna?language=pl					
Slovenia	Ministry of Education, Science, Culture and Sport / MESCS	All of which are registered in Slovenia for Research activity.	100.000	networking or collaborative research	1,2,3,4	100.000	www.mizks.gov.si/
Spain	Ministerio de Economia y Competividad (MINECO)	Public and private Universities, Non- profit Research Centers or Institutions with a demonstrated activity in research and on the subject of the call	100.000	networking or collaborative research	1,2,3,4	No limit	http://www.idi.mineco.g ob.es/portal/site/MICIN N/menuitem.d20caeda3 5a0c5dc7c68b11001432 ea0/?vgnextoid=bf2156 bc1aea0210VgnVCM100 0001034e20aRCRD
United Kingdom	Arts and Humanities Research Council (AHRC)	Proposals may only be submitted by Research Organisations who are eligible to apply to the AHRC. These organisations are: Higher Education Institutions that are directly funded for research by Higher Education Funding Council for England, Department for Employment and Learning, Higher Education Funding Council for Wales and Scottish Funding Council. Independent Research Organisations that have received Research Council recognition to apply to the AHRC is responsive mode. Research Council Institutes.  For further information see Principle Investigator, Co-investigators, Members of staff at Research Council Institutes, Research Assistants and Early career and research projects.	120.000	Research	3, 4	50,000 of which the UK contribution will vary according to the level of costs requested from the UK	www.ahrc.ac.uk/Funding - Opportunities/Pages/Fun ding-Opportunities.aspx



#### **ANNEX 2.** Contact Point details

Hereunder are provided the contact data of the Signatories' Contact Points for this JPI - JHEP joint pilot transnational call for Join Research Projects on Cultural Heritage. The Contact Points are namely responsible for informing potential applicants about relevant national/regional issues (national eligibility criteria, eligible costs...).

Country	Organization	Contact person	Contact data (e-mail and phone)
	Belgian Federal Science Policy (BELSPO)	Maaike Vancauwenberghe	vcau@belspo.be; T +32 2 238 36 78
	Research Foundation – Flanders (FWO)	Olivier Boehme	olivier.boehme@fwo.be; T. +32 2 550 15 45
<b>*</b>	Research Promotion Foundation (RPF)	Eleana Gabriel	egabriel@research.org.cy; T. +357 22205046
	Danish Agency for Science, Technology and Innovation (DASTI)	Mette Bjerge	mbj@fi.dk; T. +45 7231 8302
	Ministère de la culture et de la communication (MCC)	- Astrid Brandt-Grau	astrid.brandt-grau@culture.gouv.fr; T. +33 1 40 15 80 45
	SG/SCPCI/ Département de la recherche, de l'enseignement supérieur et de la technologie (DREST)	- Sylvie Max-Colinart	sylvie.max-colinart@culture.gouv.fr; T. +33 1 40 15 83 02
	Heritage Council (HCI)	Eimear O'Connell	eoconnell@heritagecouncil.ie; T. +353 56 7770777



	Ministero dell'Istruzione, dell'Università e della Ricerca (MIUR) Ministero per i Beni e le Attività Culturali (MiBAC)	Patrizia Bianconi	patrizia.bianconi@beniculturali.it; T. +39 3486060558
	Research Council of Lithuania (RCL)	Kornelija Janavičiūtė	kornelija.janaviciute@lmt.lt; T. +370 5 210 7396
	NWO Humanities Department Netherlands Organisation for Scientific Research	Brigit van der Pas	b.vanderpas@nwo.nl; T. +31 70 3440567
#	Research Council of Norway (RCN)	- Eli Ragna Tærum - Nils Marstein	et@rcn.no; T. +47 22037283/+47 95898412 nils.marstein@ra.no; T. +47 98202828
	Narodowe Centrum Nauki (NCN)	Anna Plater-Zyberk	anna.plater@ncn.gov.pl; T. +48 12 341 90 28
•	Ministry of Education, Science, Culture and Sport (MESCS)	-Tatjana Švajger -Marija Brus	tatjana.svajger@gov.si; T. +386 1 478 46 81 marija.brus@gov.si; T. +386 1 369 59 17
	Ministerio de Economía y Competitividad (MINECO)	Aníbal González Pérez	anibal.gonzalez@mineco.es; T. +34 91 603 87 49
	Arts and Humanities Research Council (AHRC)	Gail Lambourne	g.lambourne@ahrc.ac.uk; T. +44 1793 41 6082



#### **ANNEX 3**

<u>This table is provided only for your information</u> on Countries/Signatories funding project, which should systematically be checked with your Contact Point (Annex 2) or web site (Annex 1)

Country	Organization	Type of Project	Supported Topics	Max. amount granted for national participant	Total national call budget (Euro)
	Belgian Federal Science Policy (BELSPO)	Collaborative research	1,2	200.000	400.000
	Research Foundation - Flanders (FWO)	Networking or collaborative	1,2,3,4	200.000	400.000
	Research Promotion Foundation (RPF)	Collaborative research	1,2,3,4	100.000	200.000
=	Danish Agency for Science, Technology and Innovation (DASTI)	Networking or collaborative research	1,3,4	100.000 (included overhead/ad ministration expenses)	100.000
П	Ministère de la culture et de la communication (MCC) SG/SCPCI/ Département de la recherche, de l'enseignement supérieur et de la technologie (DREST)	Collaborative research (two years)	1,2	50.000	300.000 (within the PNRCC))
	Heritage Council (HCI)	Networking or collaborative research	1,2,3,4	50.000	100.000



	Ministero dell'Istruzione, dell'Università e della Ricerca (MIUR). Ministero per i Beni e le Attività Culturali (MiBAC).	Collaborative research	1,2,3,4	No limit	750.000
	Research Council of Lithuania (RCL)	Collaborative research	1,3,4	52.131 (180. 000 LTL)	100.000
=	NWO Humanities Department  Netherlands Organisation for Scientific Research, in cooperation with State Heritage Agency	Networking, exchange visits, pilot projects and feasibility studies	1,2,3,4	50.000	300.000
#=	Research Council of Norway (RCN)	networking projects,(includin g coordination, workshops and travel expenses)	1,3 and 4. Topic 2 may be included in collaboration with one or more of the other topics	50.000	150.000
	Narodowe Centrum Nauki (NCN)	collaborative research	4	150.000	150.000
Ö	Ministry of Education, Science, Culture and Sport / MESCS	networking or collaborative research	1,2,3,4	100.000	100.000
	Ministerio de Economia y Competividad (MINECO)	networking or collaborative	1,2,3,4	No limit	100.000
	Arts and Humanities Research Council	Research networking	3,4	50.000 of which the UK contribution will vary according to the level of costs	120.000



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#### **ANNEX 4. Evaluation criteria**

Eligible proposals will be evaluated against the following pre-determined evaluation criteria

	Criterion	Explanation	Weight (threshold/maximum)
1	Scientific and technical content	<ul> <li>Relevance to the objectives of the call</li> <li>Innovative character in relation to the state-of-art</li> <li>Contribution to advancement of knowledge or technology</li> </ul>	• 5/10
2	Trans-national added value	<ul> <li>Added value generated by the international cooperation</li> </ul>	• 3/6
3	Consortium	<ul> <li>Excellence of the involved partners</li> <li>Quality of the consortium as a whole(complementarity and balance)</li> </ul>	• 3/6
4	Work plan	<ul> <li>Effectiveness of the methodology</li> <li>Adequacy and feasibility of the work plan</li> </ul>	• 3/6
5	Resources	<ul> <li>Adequacy of the budget and other resources</li> <li>Appropriate distribution of the resources</li> </ul>	• 3/6
6	Impact	<ul> <li>Potential impact on the partners</li> <li>Potential impact on the relevant industry</li> <li>Potential impact on environment, safety and economic</li> </ul>	• 5/10
7	Exploitation of results	<ul> <li>Potential diffusion for the project results</li> <li>Appropriateness of exploitation plan</li> <li>Dissemination plan of project results</li> </ul>	• 3/6